

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF CALIFORNIA

Civil No. 07cv1607-L(WVG)

ANTHONY C. HALBERT,
Plaintiff,

v.

COUNTY OF SAN DIEGO, *et al.*,
Defendants.

**ORDER (1) DENYING DEFENDANT
ROGER RODRIGUEZ' MOTION
FOR SUMMARY JUDGMENT; (2)
GRANTING DEFENDANT SAM
SAMOA'S MOTION FOR
SUMMARY ADJUDICATION; (3)
GRANTING DEFENDANT LESTER
EUGENE DAVIS AND JOE SPINA'S
MOTION FOR SUMMARY
JUDGMENT; AND (4) DENYING
PLAINTIFF'S MOTION FOR
SUMMARY JUDGMENT OR IN
THE ALTERNATIVE PARTIAL
SUMMARY JUDGMENT**

In this civil rights action, Plaintiff alleges that his constitutional rights were violated by several law enforcement officers when he was handcuffed and detained while they were performing a search of a probationer's residence where Plaintiff rented a room. Defendant Roger Rodriguez filed a motion for summary judgment, Defendant Sam Samoa filed a motion for summary adjudication, and Defendants Lester Eugene Davis and Joe Spina filed a motion for summary judgment.¹ Plaintiff filed a cross-motion for summary judgment or in the alternative

¹ Although Defendants Rodriguez, Davis and Spina refer to their respective motions as motions for summary judgment, they are construed as motions for summary adjudication, as they do not seek a judgment on the complaint in its entirety, but only adjudication of the claims asserted against each of them.

1 partial summary judgment, which all Defendants opposed.² For the reasons which follow,
 2 Defendant Rodriguez' motion for summary judgment is **DENIED**. The request in his reply brief
 3 for summary adjudication of issues is **GRANTED IN PART AND DENIED IN PART**.
 4 Defendant Samoa's motion for summary adjudication is **GRANTED**. The request in his reply
 5 brief for summary judgment is **DENIED**. The motion for summary judgment filed by
 6 Defendants Davis and Spina is **GRANTED**. Plaintiff's cross-motion for summary judgment or
 7 in the alternative partial summary judgment is **DENIED**.

8 This action arises from the search on August 19, 2005 of a third-party probationer's
 9 residence by law enforcement officers associated with the Jurisdictions Unified for Drug and
 10 Gang Enforcement (JUDGE) unit, a multi-agency program coordinating enforcement of drug
 11 and gang laws. Seven officers were involved in the search and were named as Defendants in this
 12 action: Sergeant Lester Eugene Davis ("Sgt. Davis"), Detective Joseph Spina ("Det. Spina") and
 13 Nicholas Maryn from the San Diego County Sheriff's Department, Detective Roger Ridriguez
 14 from the Escondido Police Department ("Det. Rodriguez"), Detective Darren Knox from the San
 15 Diego County Probation Department ("Det. Knox"), Detective Sam Samoa from the Oceanside
 16 Police Department ("Det. Samoa"), and Agent Glenn Graczyk of the United States Border Patrol
 17 ("Agent Graczyk").³

18 The purpose of the operation was to perform a probation compliance search on Zachary
 19 Carpenter, a known gang member with a federal violent crime conviction and a state assault
 20 weapons conviction. Also known to reside at the same address was Joseph Carter, a parolee
 21 with an outstanding arrest warrant.

22 When Defendants arrived at Mr. Carpenter's residence in the early morning, Mr.
 23 Carpenter let them in. They performed a sweep of the entire building and handcuffed three
 24 occupants who were on the premises – Mr. Carpenter, Mr. Carter and Plaintiff. The occupants
 25

26 ² For the most part, Defendants joined in each other's briefing, including evidentiary
 27 objections and responses to Plaintiff's statement of undisputed facts.

28 ³ Defendants Knox and Maryn have been voluntarily dismissed along with the
 County of San Diego.

1 were kept handcuffed and under guard in the kitchen during the search, which lasted between
2 one and two hours. Heroin was located in Mr. Carter's room and he was arrested. Plaintiff and
3 Mr. Carpenter were released after the search was completed.

4 Plaintiff's complaint appears to be based on 42 U.S.C. Section 1983. "To establish a
5 violation of 42 U.S.C. § 1983, [a plaintiff] must prove that [the defendant]: (1) acted under color
6 of state law, and (2) deprived [the plaintiff] of [his or her] constitutional rights." *Dawson v. City*
7 *of Seattle*, 435 F.3d 1054, 1061 (9th Cir. 2006). Section 1983 applies to Plaintiff's constitutional
8 claims against state law enforcement officers, *i.e.*, all Defendants except for Agent Graczyk, who
9 was a federal agent.

10 In *Bivens v. Six Unknown Federal Narcotics Agents*, 403 U.S. 388 (1971), the Supreme
11 Court recognized a damage action against federal agents brought directly under the Constitution.
12 See also *Tekle v. United States*, 511 F.3d 839, 844 (9th Cir. 2007) ("*Bivens* is a judicially created
13 cause of action against federal officers arising under the United States Constitution."). The
14 complaint is therefore construed as alleging a *Bivens* claim against Agent Graczyk.

15 Plaintiff alleges that his constitutional rights under the Fourth, Fifth and Fourteenth
16 Amendments were violated by the allegedly unlawful search and seizure and Defendants' use of
17 excessive force. "Where a particular Amendment 'provides an explicit textual source of
18 constitutional protection' against a particular sort of government behavior, 'that Amendment, not
19 the more generalized notion of 'substantive due process,' must be the guide for analyzing these
20 claims.'" *Albright v. Oliver*, 510 U.S. 266, 273 (1994), quoting *Graham v. Connor*, 490 U.S.
21 386, 394 (1989); see also *United States v. Lanier*, 520 U.S. 259, 272 n.7 (1997). The Fourth
22 Amendment explicitly addresses government searches and seizures. U.S. Const., amend. IV
23 ("The right of the people to be secure in their persons, houses, papers, and effects, against
24 unreasonable searches and seizures, shall not be violated . . . but upon probable cause . . .").
25 The Fourth Amendment also "provides an explicit textual source of constitutional protection
26 against . . . physically intrusive government conduct," including excessive force during a search
27 or seizure. *Graham*, 490 U.S. at 395. Plaintiff's claims therefore cannot be based on the Fifth
28 Amendment. See *id.* By virtue of basing all his arguments on Fourth Amendment

1 jurisprudence, it appears that Plaintiff does not dispute this proposition. The Fourth Amendment
2 is applicable to the States through the Fourteenth Amendment. *Mapp v. Ohio*, 367 U.S. 643
3 (1961). Accordingly, to the extent Plaintiff alleges a claim for violation of his Fifth Amendment
4 rights, it is **DISMISSED WITH PREJUDICE**.

5 Plaintiff moves for summary judgment arguing that Defendants unlawfully seized,
6 detained and interrogated him, that they used excessive force, and that they detained him for an
7 unreasonable period of time. When Plaintiff was contacted in the early morning, he was in his
8 room in the basement of Mr. Carpenter's residence wearing only his underwear and a T-shirt.
9 Defendants allegedly pointed their guns at him. Plaintiff claims that his arms were forcefully
10 brought down and then up behind him for handcuffing, injuring his right shoulder, and that the
11 handcuffs were painfully tight around his wrists. Plaintiff was escorted upstairs into the kitchen.
12 He claims that the officer escorting him shoved him from behind, causing him to hit his head in a
13 cement overhang, injuring his head and neck. Plaintiff was detained in the kitchen under guard
14 and handcuffed in his underwear for one to two hours while Defendants completed the search.

15 Defendants contend that several claims on which Plaintiff seeks summary adjudication
16 are not properly before the court because they are not alleged in the Fourth Amended Complaint,
17 the operative complaint in this case. This is the case with the claims that his room was
18 unlawfully searched and that Defendants failed to give him medical attention. In his reply
19 Plaintiff argues that he "pled in his Fourth Amended Complaint a cause of action of 'Violation
20 of Civil Rights of Plaintiff Against All Defendants,' [and that] such a cause of action clearly
21 encompasses 'failure to give medical attention'" (Pl.'s Reply at 7.) However, to put the
22 defendants on notice, more specificity in pleading is required by Federal Rule of Civil Procedure
23 8(a) than "labels and conclusions" on which Plaintiff now bases these claims. *See Bell Atlantic*
24 *Corp. v. Twombly*, 550 U.S. 544, 555 (2007). In contrast, Plaintiff's other theories of liability
25 are at least identified in the complaint. (Fourth Am. Compl. at 4 ("Defendants and each of them,
26 detained, arrested and interrogated Plaintiff Halbert at gun point [*sic*];" "Defendants and each of
27 them, engaged in the use of excessive and unreasonable force on Plaintiff").

28 Plaintiff also argues that in his interrogatory responses he put Defendants on notice of his

1 claim regarding “Failure to Give Medical Attention.” (Pl.’s Reply at 7.) However, the
2 interrogatory response quoted in the reply brief does not reference Plaintiff’s need for medical
3 attention at the time of the incident or indicate whether he requested it. (*Id.*) Moreover, the
4 interrogatory response is dated June 19, 2008. At no time since then has Plaintiff moved to
5 amend his complaint to add the claims for failure to provide medical attention or the unlawful
6 search of his room. Accordingly, these claims are not before the court in this action.

7 Plaintiff also seeks summary adjudication on the ground that in addition for being liable
8 for their respective direct personal involvement in violating his constitutional rights, all
9 Defendants are liable based on a collective unit,⁴ conspiracy, failure to intercede and supervisory
10 liability theories. Defendants contend that these theories were not pled in the operative
11 complaint. However, the Fourth Amended Complaint contains sufficient references to these
12 theories to put them on notice. (Fourth Am. Comp. at 3 (“acting jointly and in concert with
13 others . . . ; . . . authorizing, acquiescing or failing to take action to prevent the unlawful conduct;
14 . . . failing to initiate and maintain adequate supervision; and . . . ratifying the unlawful conduct
15 that occurred by agents and officers under their direction and control”).) Accordingly, these
16 claims are properly before the court.

17 Defendants further argue that the “collective unit” theory of liability is invalid as a matter
18 of law. In part, Plaintiff relies on a “collective knowledge” theory, whereby the knowledge of
19 one officer can be imputed to another if the officers act as a team. (Pl.’s Mem. of P.&A. at 21,
20 citing *United States v. Poe*, 462 F.3d 997, 1001 (8th Cir. 2006), *United States v. Terry*, 400 F.3d
21 575, 580-81 (8th Cir. 2005 & *United States v. Gillette*, 245 F.3d 1032, 1034 (8th Cir. 2001).)
22 Imputing liability in civil rights cases to law enforcement officers based solely on their status as
23 team members has been rejected by the Ninth Circuit. *Chuman v. Wright*, 76 F.3d 292, 295 (9th
24 Cir. 1996); *see also Hopkins v. Bonvicino*, 573 F.3d 752, 769-70 (9th Cir. 2009). The “team
25 effort” theory is an improper ground for liability because it “allows a jury to find a defendant
26 liable on the ground that even if the defendant had no role in the unlawful conduct, he would

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28 ⁴ Plaintiff refers to this theory also as “collective knowledge” and “team effort”
theory.

1 nonetheless be found guilty if the conduct was the result of a ‘team effort.’” *Chuman*, 76 F.3d at
 2 295. Plaintiff’s “collective unit” claim is rejected as a matter of law and is therefore
 3 **DISMISSED WITH PREJUDICE.**

4 In his motion for summary judgment, Det. Rodriguez seeks a finding that he did not
 5 violate any of Plaintiff’s constitutional rights.⁵ Along with other Defendants, Det. Rodriguez
 6 was responsible for “sweeping” the residence for potential threats and securing the occupants
 7 during the search. He contacted Plaintiff in or in front of his room in the basement of the
 8 residence. Det. Rodriguez admits briefly pointing his gun at Plaintiff when he was first
 9 confronted with him. He maintains he was the first to contact Plaintiff and that he handcuffed
 10 him in the back without the use of unreasonable force. Det. Rodriguez contends that he only
 11 handled Plaintiff’s left arm, which did not sustain any injury, that Plaintiff has not identified any
 12 physical injury from the act of handcuffing, and that no evidence supports the contention that he
 13 caused any of Plaintiff’s claimed injuries. Det. Rodriguez had no further contact with Plaintiff
 14 after handcuffing him and was not involved in any other decision regarding Plaintiff.

15 Agent Graczyk does not move for summary adjudication, however, he opposes Plaintiff’s
 16 summary judgment motion. During the search he carried a submachine gun and was present
 17 when Det. Rodriguez handcuffed Plaintiff. Agent Graczyk admits that he pointed his gun in
 18 Plaintiff’s direction, but claims that was his only contact with Plaintiff.

19 Det. Samoa moves for summary adjudication of the issues that he did not arrest Plaintiff
 20 and that the duration of Plaintiff’s detention was constitutionally reasonable. According to Det.
 21 Samoa, when he arrived in the basement area, Plaintiff was already handcuffed. Plaintiff was
 22 passed to him to be taken upstairs into the kitchen. Det. Samoa does not seek summary
 23 adjudication of Plaintiff’s excessive force claim arising out of the allegation that, when escorting
 24 him upstairs, Det. Samoa shoved him from behind, causing him to hit his head against a cement
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 27 ⁵ As pertinent here, in his reply brief Det. Rodriguez also requests summary
 28 adjudication of the issues that he did not unlawfully detain or arrest Plaintiff, did not use any
 excessive force and is not liable for the acts or omissions of other officers.

1 overhang.⁶ Det. Samoa opposes Plaintiff's motion, however, to the extent it is based on this
2 incident. Once upstairs, Plaintiff remained handcuffed and was seated in the kitchen under
3 guard until the search was completed. Det. Samoa remained in the kitchen with the detained
4 occupants and Sgt. Davis for at least a part of that time.

5 Sgt. Davis and Det. Spina move for summary judgment arguing they were not personally
6 involved in any alleged constitutional violations and are not subject to vicarious liability. Sgt.
7 Davis was the supervisor of the JUDGE unit. He did not search the residence, but stayed in the
8 kitchen to guard the detained occupants. His only physical contact with Plaintiff was to move
9 the handcuffs from the back to the front for comfort. Det. Spina was the case agent assigned to
10 the operation. He was responsible for developing the information for the search, briefing the
11 unit members before the search, and assigning duties before and during the search. He only
12 briefly saw Plaintiff while he was detained in the kitchen.

13 All Defendants also oppose Plaintiff's contention that they can be held liable for anything
14 other than their respective direct individual actions. In addition, they argue that to the extent
15 they are not able to show that they did not violate Plaintiff's Fourth Amendment rights, they
16 should be shielded from further litigation based on qualified immunity.

17 Federal Rule of Civil Procedure 56 empowers the court to enter summary judgment on
18 factually unsupported claims or defenses, and thereby "secure the just, speedy and inexpensive
19 determination of every action." *Celotex Corp. v. Catrett*, 477 U.S. 317, 325, 327 (1986). "If
20 summary judgment is not rendered on the whole action, the court should, to the extent
21 practicable, determine what material facts are not genuinely at issue." Fed. R. Civ. P. 56(d)(1).

22 Summary judgment or adjudication of issues is appropriate if the "pleadings, depositions,
23 answers to interrogatories, and admissions on file, together with the affidavits, if any, show that
24 there is no genuine issue as to any material fact and that the moving party is entitled to judgment
25 as a matter of law." Fed. R. Civ. P. 56(c). A fact is material when it affects the outcome of the
26 case. *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 248 (1986). A "genuine issue" of material
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28 ⁶ Det. Samoa requests this ruling in his reply brief.

1 fact arises if “the evidence is such that a reasonable jury could return a verdict for the
2 nonmoving party.” *Id.*

3 The burden on the party moving for summary judgment depends on whether it bears the
4 burden of proof at trial. “When the party moving for summary judgment would bear the burden
5 of proof at trial, it must come forward with evidence which would entitle it to a directed verdict
6 if the evidence went uncontroverted at trial. In such a case, the moving party has the initial
7 burden of establishing the absence of a genuine issue of fact on each issue material to its case.”
8 *See C.A.R. Transp. Brokerage Co., Inc. v. Darden Restaurants, Inc.*, 213 F.3d 474, 480 (9th Cir.
9 2000) (citations omitted). When the moving party would not bear the burden at trial, it can meet
10 its burden by pointing out the absence of evidence with respect to any one element of the claim.
11 *See Celotex*, 477 U.S. at 325.

12 If the movant meets its burden, the burden shifts to the nonmovant to show summary
13 adjudication is not appropriate. *Celotex*, 477 U.S. at 317, 324. The nonmovant does not meet
14 this burden by showing “some metaphysical doubt as to material facts.” *Matsushita Elec. Indus.*
15 *Co., Ltd. v. Zenith Radio Corp.*, 475 U.S. 574, 586 (1986). The nonmovant must go beyond the
16 pleadings to designate specific facts showing there are genuine factual issues which “can be
17 resolved only by a finder of fact because they may reasonably be resolved in favor of either
18 party.” *Anderson*, 477 U.S. at 250.

19 When ruling on a summary judgment motion, the nonmovant's evidence is to be believed,
20 and all justifiable inferences are to be drawn in his or her favor. *Anderson*, 477 U.S. at 255.
21 However, “[w]hen opposing parties tell two different stories, one of which is blatantly
22 contradicted by the record, so that no reasonable jury could believe it, a court should not adopt
23 that version of the facts for purposes of ruling on a motion for summary judgment.” *Scott v.*
24 *Harris*, 550 U.S. 372, 380 (2007). Determinations regarding credibility, the weighing of
25 evidence, and the drawing of legitimate inferences are jury functions, and are not appropriate for
26 resolution by the court on summary judgment. *Anderson*, 477 U.S. at 255. Only admissible
27 evidence may be considered in deciding a motion for summary judgment. *See Fed. R. Civ. P.*
28

1 56(e).⁷

2 The mere fact the parties filed cross-motions “does not necessarily mean there are no
3 disputed issues of material fact and does not necessarily permit the judge to render judgment in
4 favor of one side or the other.” *Starsky v. Williams*, 512 F.2d 109, 112 (9th Cir. 1975). “[E]ach
5 motion must be considered on its own merits.” *Fair Hous. Council of Riverside County, Inc. v.*
6 *Riverside Two*, 249 F.3d 1132, 1136 (9th Cir. 2001). The court must consider evidence
7 submitted in support of and in opposition to both motions before ruling on either one. *Id.* “Even
8 when there has been no cross-motion for summary judgment, a district court may enter summary
9 judgment sua sponte against a moving party if the losing party has had a full and fair opportunity
10 to ventilate the issues involved in the matter.” *Gospel Mission of Am. v. City of Los Angeles*,
11 328 F.3d 548, 553 (9th Cir. 2003) (internal quotation marks and citation omitted).

12 Plaintiff contends that he was unlawfully detained and arrested for the duration of the
13 search. It is undisputed that Plaintiff lived in Mr. Carpenter’s residence. Mr. Carpenter was on
14 probation based on a judgment entered in state court. (Def. Lester Eugene Davis and Joe Spina’s
15 Notice of Lodgment in Supp. of Their Mot. for Summ. J., Ex. (“Davis Ex.”) H.) As a condition
16 of probation, Mr. Carpenter waived his Fourth Amendment rights. (*Id.*) “[P]robation conditions
17 are . . . categorically sufficient to justify the invasion of privacy entailed by a home search.”
18 *Sanchez v. Canales*, 574 F.3d 1169, 1174 (9th Cir. 2009), citing *Samson v. California*, 547 U.S.
19 843, 847 (2006). Pursuant to *Muehler v. Mena*, 544 U.S. 93 (2005), “officers may
20 constitutionally detain the occupants of a home during a . . . probation compliance search,”
21 *Sanchez*, 574 F.3d at 1173, so long as they have probable cause to believe that the probationer
22 resides at the address, *Motley v. Parks*, 432 F.3d 1072, 1079 (9th Cir. 2005) (*en banc*). It is

23
24 ⁷ The parties filed copious evidentiary objections. For example, Defendants
25 objected to each deposition excerpt filed by Plaintiff arguing it lacked a cover page and did not
26 include the reporter’s certification. However, the cover pages were filed with Plaintiff’s
27 excerpts and Plaintiff has since filed the reporter’s certifications. Accordingly, these objections
28 to Plaintiff’s deposition excerpts are overruled. On the other hand, Plaintiff objected to much of
Defendants’ evidence on the ground that it was “self serving testimony.” This objection is
overruled because it is not based on the Federal Rules of Evidence. It is a credibility argument
that Plaintiff can make to the jury. As to the remaining objections, unless noted otherwise
herein, they are overruled.

1 undisputed that Mr. Carpenter resided at the address searched and was at the residence at the
2 time of the search.

3 Furthermore, it is constitutionally reasonable to require all occupants to remain while the
4 officers execute the search. *Sanchez*, 574 F.3d at 1174, citing *Michigan v. Summers*, 452 U.S.
5 692, 704-05 (1981). Any such detention of a non-probationer occupant of the residence is not a
6 violation of his or her clearly established constitutional rights. *Sanchez*, 574 F.3d at 1173. The
7 officers have authority to detain the occupants during the search “whether or not the occupants
8 appear dangerous,” and whether or not the search occurs under particularly risky circumstances
9 – the officers’ authority to detain the occupants incident to the search is “categorical.” *Id.* at
10 1173, 1175 & n.4, citing *Muehler*, 544 U.S. at 98. Plaintiff attempts to distinguish *Muehler* from
11 the present case because the search in *Muehler* was pursuant to a search warrant for the
12 premises, while in the present case the search was pursuant to a probationer’s Fourth
13 Amendment waiver. This argument has been rejected by the Ninth Circuit and is therefore
14 unavailing. *Sanchez*, 574 F.3d at 1174-75.

15 The duration of the occupants’ detention may be coextensive with the search and requires
16 no further justification. *Dawson*, 435 F.3d at 1066. In *Muehler*, the detention of occupants in
17 handcuffs for two to three hours was held constitutionally reasonable. 544 U.S. at 100. Plaintiff
18 does not maintain that he was detained any longer than it took the officers to complete the
19 search.

20 Accordingly, without more, Plaintiff’s detention for the duration of the search did not
21 violate his Fourth Amendment rights. His motion for summary adjudication of this issue is
22 **DENIED**. Defendants’ motions, to the extent they request summary adjudication of this issue,
23 are **GRANTED**.

24 Plaintiff also argues that his Fourth Amendment rights were violated because he was
25 interrogated while detained. The officers’ questioning during detention does not violate the
26 Fourth Amendment. *Dawson*, 435 F.3d at 1067-68. In *Muehler*, the Supreme Court made clear
27 that

28 questioning a person whom the police detain incident to a building search does not

1 require independent probable cause because ‘mere police questioning does not
2 constitute a seizure.’ The Supreme Court rejected the notion that questioning a
3 detainee ‘constitute[s] a discrete Fourth Amendment event,’ unless the questioning
4 prolongs the detention.

5 *Dawson*, 435 F.3d at 1068, quoting *Muehler*, 544 U.S. at 100-101. Plaintiff does not maintain
6 that the questioning prolonged his detention.

7 As a matter of law, the interrogation therefore did not violate Plaintiff’s constitutional
8 rights. To the extent Plaintiff seeks summary adjudication of the interrogation claim, his motion
9 is **DENIED**. Although Defendants do not seek summary adjudication of this issue, Plaintiff had
10 a full and fair opportunity in his motion to “ventilate” it. See *Gospel Missions*, 328 F.3d at 553.
11 This claim is therefore summarily adjudicated in Defendants’ favor.

12 Plaintiff further maintains that Defendants used excessive force when they seized and
13 detained him. Inherent in the “authorization to detain an occupant of the place to be searched is
14 the authority to use reasonable force to effectuate the detention.” *Muehler*, 544 U.S. at 99-100.
15 “[T]he reasonableness of a detention depends not only on *if* it is made, but also on *how* it is
16 carried out. A detention conducted in connection with a search may be unreasonable if it is
17 unnecessarily painful, degrading, or prolonged.” *Meredith v. Erath*, 342 F.3d 1057, 1062 (9th
18 Cir. 2003) (internal quotation marks, brackets and citations omitted, emphases in original).

19 “[U]se of force is contrary to the Fourth Amendment if it is excessive under objective
20 standards of reasonableness.” *Saucier v. Katz*, 533 U.S. 194, 202 (2001), overruled on other
21 grounds by *Pearson v. Callahan*, ___ U.S. ___, 129 S. Ct. 808 (2009). In determining whether the
22 force used was reasonable, the court must balance “the nature and quality of the intrusion on the
23 individual’s Fourth Amendment interests against the countervailing governmental interests at
24 stake.” *Tekle*, 511 F.3d at 844, quoting *Graham*, 490 U.S. at 396.

25 The first factor in determining whether the force used was excessive is the severity
26 of the force applied. The second factor, and the most important, is the need for the
27 force. The amount of force used is permissible only when a strong government
28 interest compels the employment of such force. Factors to be considered in
determining the need for the force include the severity of the crime at issue,
whether the suspect poses an immediate threat to the safety of the officers or
others, and whether he is actively resisting arrest or attempting to evade arrest by
flight. Finally, [the court] must balance the force used against the need, to
determine whether the force used was greater than is reasonable under the

1 circumstances. This determination requires careful attention to the facts and
2 circumstances of each particular case and a careful balancing of an individual's
3 liberty with the government's interest in the application of force. Because such
4 balancing nearly always requires a jury to sift through disputed factual contentions,
and to draw inferences therefrom, . . . summary judgment . . . in excessive force
cases should be granted sparingly. This is because police misconduct cases almost
always turn on a jury's credibility determinations.

5 *Id.* at 844-45 (internal quotations marks and citations omitted).

6 Plaintiff makes four arguments regarding the excessive use of force when he was seized
7 and detained. He argues that it was unreasonable to (1) handcuff him at gunpoint; (2) handcuff
8 him in a painful manner by forcefully pulling his arms down behind him and then shoving his
9 hands upward and by applying the handcuffs too tightly; (3) shove his head into a cement
10 overhang; and (4) detain him in handcuffs for one and a half to two hours.

11 First, Plaintiff contends that Defendants used excessive force because he was handcuffed
12 at gunpoint. “[P]ointing of a gun at someone may constitute excessive force, even if it does not
13 cause physical injury.” *Tekle*, 511 F.3d at 845, citing *Robinson v. Solano County*, 278 F.3d
14 1007, 1014-15 (9th Cir. 2002) (*en banc*); *see also id.* at 847. In *Robinson*, the court found that
15 officers who pointed guns at a non-threatening suspect, handcuffed him and detained him for 15
16 to 30 minutes, could be held liable for a Fourth Amendment violation because the use of guns
17 and handcuffs must be justified by the circumstances. 278 F.3d at 1014.

18 Det. Rodriguez admits to briefly pointing his semi-automatic pistol at Plaintiff when he
19 was initially confronted with him in the dark basement area of the residence. (Dec. of Def.
20 Roger Rodriguez in Supp. of Mot. for Summ. J. (“Rodriguez Decl.”) at 3, 4.) Agent Graczyk,
21 who carried a submachine gun, admits pointing it in Plaintiff’s direction before and during the
22 handcuffing. (Deposition of Glenn Graczyk (“Graczyk Depo.”) at 33, 61, 62, 84-85, 95, 103-
23 04.)

24 Where an operation is considered inherently dangerous because it involves a search for
25 weapons at a residence of known gang members, it is reasonable to handcuff an occupant at
26 gunpoint. *Muehler*, 544 U.S. at 99, 100. Plaintiff claims that his Fourth Amendment rights were
27 violated because the search of Mr. Carpenter’s residence was not inherently dangerous.

28 The “‘reasonableness’ of a particular use of force must be judged from the perspective of

1 a reasonable officer on the scene, rather than with the 20/20 vision of hindsight.” *Jackson v.*
 2 *City of Bremerton*, 268 F.3d 646, 651 (9th Cir. 2001). The court therefore considers the facts
 3 known to Defendants at the time of the incident.

4 In the process of preparing the operation, Det. Spina researched Mr. Carpenter’s criminal
 5 and gang background. (Deposition of Joseph Spina (“Spina Depo.”) at 20-21.) He also learned
 6 that Mr. Carter resided at Mr. Carpenter’s residence and that Mr. Carter was a parolee with an
 7 outstanding arrest warrant. (*Id.* at 20-21, 42.)

8 Mr. Carpenter had a June 2, 2005 federal conviction for violating 18 U.S.C. Section
 9 1959(a)(6) (Violent crimes in aid of racketeering activity, specifically “attempting or conspiring
 10 to commit a crime involving maiming, assault with a dangerous weapon, or assault resulting in
 11 serious bodily injury”). (Davis Ex. K.). On July 12, 2005 he was placed on probation in state
 12 court for violating California Penal Code Section 12280 (Manufacture, distribution,
 13 transportation, importation, sale, possession, or lending of assault weapon or .50 BMG rifle).
 14 (Notice of Lodgment of Docs in Supp. of Def. Roger Rodriguez’ Mot. for Summ. J. Ex.
 15 (“Rodriguez Ex.”) C (Spina Depo. Ex. 95).) Furthermore, Mr. Carpenter was a known gang
 16 member. (Deposition of Hollis Jones at 8, 36-37; Spina Depo. at 65-66.)⁸

17 As to Mr. Carter, Det. Spina ran his criminal history (Spina Depo. at 30) and learned he
 18 was on parole and had an outstanding arrest warrant (*id.* at 20-21, 42).⁹ In addition, Det. Spina
 19 was aware of Mr. Carter from personal experience. (*Id.* at 39, 66.) When Det. Spina worked
 20 patrol in Encinitas, Mr. Carter was arrested several times on drug charges. (*Id.* at 66.) He was
 21 known as a dangerous person because of his drug use, unpredictability, large size and propensity
 22 for violence during arrest. (*Id.* at 66-67, 91.)

23 Based on the information he had about Messrs. Carpenter and Carter, Det. Spina
 24

25 ⁸ Hollis Jones was a deputy probation officer at the adult gang suppression unit at
 26 the San Diego County Probation Department. (Jones Depo. at 6.) He was Mr. Carpenter’s
 27 probation officer at the relevant time. (*Id.* at 7-8.) Mr. Carpenter was a member of the Hells
 Angels motorcycle gang. (*Id.* at 8, *see also id.* at 36-37.) In preparing the operation, Det. Spina
 spoke to Deputy Jones. (Spina Depo. at 30-31.)

28 ⁹ The warrant was for violation of California Health and Safety Code Section
 11377(a), a misdemeanor drug possession charge. (Davis Ex. L at 4.)

1 determined that this would be a relatively high risk operation and requested additional backup.
2 (*Id.* at 89, 92-93.) As a result, additional law enforcement officers who were not members of the
3 JUDGE unit were involved in the search, bringing the total number of officers involved to
4 between 10 and 12. (Spina Depo. at 92-93.)

5 Before the search, Det. Spina briefed Defendants about Messrs. Carpenter and Carter's
6 criminal records and his experience with Mr. Carter. (Deposition of Lester Davis ("Davis
7 Depo.") at 14; Rodriguez Decl. at 2; Deposition of Samoa Samoa ("Samoa Depo.") at 26-29 &
8 47-48; Graczyk Depo. at 33-34, 38-39.) He told the officers that the purpose of the operation
9 was to perform a compliance search on Messrs. Carpenter and Carter and enforce the
10 outstanding arrest warrant on Mr. Carter. (Spina Depo. at 38, 42-43; Graczyk Depo. at 57.) The
11 officers concluded that this would not be an ordinary search but a search that involved gang
12 members with violent criminal records, including charges based on assault weapons.

13 Plaintiff argues that this was not a reasonable belief because he was present on a couple
14 of occasions before the incident, when Mr. Carpenter's federal probation officer Lisa Coen
15 conducted a compliance visit by herself. (*See* Deposition of Anthony C. Halbert ("Halbert
16 Depo.") at 52-53.) However, Plaintiff presents no evidence or argument to indicate whether
17 Defendants knew before the search of Ms. Coen's experience when visiting Mr. Carpenter,
18 whether Mr. Carter resided at Mr. Carpenter's residence at the time of her visits, or whether she
19 was aware of Mr. Carter's background. Plaintiff therefore does not raise a genuine issue of fact
20 whether the search was inherently dangerous.

21 Based on undisputed evidence regarding Defendants' knowledge before the search, the
22 relevant circumstances of this case are similar to those in *Mena v. Muehler*, where the court
23 concluded that a search for weapons at a residence of known gang members was inherently
24 dangerous. 544 U.S. at 99, 100. *Muehler* held that it was constitutionally reasonable to
25 handcuff an occupant at gunpoint in these circumstances. *Id.* Plaintiff does not maintain that
26 guns were pointed at him at any time after he was handcuffed.

27 Accordingly, no Fourth Amendment violation resulted from handcuffing Plaintiff at
28 gunpoint. To the extent Plaintiff requests summary adjudication of the excessive force claim

1 arising solely from the fact that guns were pointed at him when he was handcuffed, his motion is
2 **DENIED**. Because Plaintiff had a full and fair opportunity to “ventilate” this issue, the claim is
3 adjudicated for Defendants.

4 Second, Plaintiff seeks summary adjudication of the excessive force claim based on the
5 manner in which he was handcuffed. He claims that his right arm was forcefully pulled down
6 behind his back and then up behind him, causing a rotator cuff tear in his right shoulder, and that
7 the handcuffs were painfully tight. Applying handcuffs in an abusive manner or overly tightly
8 can constitute excessive force. *Hansen v. Black*, 885 F.2d 642, 643 (9th Cir. 1989) (abusive
9 application); *Meredith*, 342 F.3d at 1063 (abusive application, overly tight handcuffing).

10 Det. Rodriguez argues that he is not liable for excessive force associated with
11 handcuffing. He claims Plaintiff admitted that he did not handle Plaintiff’s right arm, that there
12 is no evidence handcuffing caused Plaintiff’s claimed shoulder injury, and that Plaintiff’s wrists
13 were not injured at all. Agent Graczyk opposes Plaintiff’s summary judgment motion based on
14 the argument that he did not have any physical contact with Plaintiff. (Graczyk Depo. at 62, 93-
15 94.) It is undisputed that Det. Rodriguez handcuffed Plaintiff,¹⁰ and that at least Agent Graczyk
16 and Det. Knox were present at that time.

17 Plaintiff disputes that he admitted Det. Rodriguez did not handle his right arm. Det.
18 Rodriguez’ admission argument rests primarily on his belief that Plaintiff identified him as the
19 officer who first made contact with Plaintiff at the door to his room. (Rodriguez Decl. at 4.) He
20 focuses on the identification because he claims that Plaintiff also testified that the first officer at
21 the door did not handle his right arm. In support of this argument, Det. Rodriguez offers
22 snippets of Plaintiff’s deposition testimony. (Rodriguez Mem. of P.&A. at 18-20.) However,
23 upon review of all the excerpts of Plaintiff’s testimony about handcuffing, it is apparent that
24 Plaintiff was not certain about Det. Rodriguez’ identification or about who handled his right arm.

25
26
27 ¹⁰ Plaintiff’s argument that Det. Rodriguez “is not competent” to testify about
28 handcuffing because his position on this issue changed in the course of discovery is irrelevant
for purposes of this motion. This is a matter of credibility which is not appropriate for
consideration on summary judgment. *See Anderson*, 477 U.S. at 255.

1 When he was first contacted, Plaintiff stood inside his room with the door cracked open a
2 little. (Halbert Depo. at 182-83.) One officer identified the group as police and asked Plaintiff if
3 he was on parole or probation, whether anyone else was in the room and whether they could
4 come in. (*Id.* at 182-88.) Plaintiff described the first officer at the door as “a guy with
5 camouflage or . . . a vest . . . with “dark hair,” “maybe as tall as” Plaintiff, “wide – muscular, a
6 little bit,” and “might have been a Hispanic.”¹¹ (*Id.* at 183, 205.) According to Det. Rodriguez,
7 this is his description. (Rodriguez Decl. at 4.) However, Plaintiff qualified the description
8 because the officer stood in the dark. (Halbert Depo. at 182-83, 193-94.) After persistent
9 questioning about the description of the officer who first contacted him and the mechanics of
10 how the officers entered Plaintiff’s room, Plaintiff testified that he did not have a detailed
11 recollection. (*Id.* at 187; *see also id.* at 185-86.)

12 More importantly, even if Det. Rodriguez is the officer who first contacted Plaintiff at the
13 door to his room, Plaintiff’s testimony about which officer handled which arm is similarly
14 qualified. The whole incident from the contact to handcuffing happened very quickly, in a
15 “dramatic” fashion, and Plaintiff could not recall the specifics of which officer did what. (*Id.* at
16 221, 222; *see also id.* at 190, 227.)

17 Plaintiff responded to Defendants’ questions and told them he was not on parole or
18 probation, that no one was in the room with him and he did not consent to the officers entering
19 his room. (Halbert Depo. at 185, 186-87, 188.) As soon as he asked to see a warrant, the
20 officers burst in, including an officer with a machine gun pointed at Plaintiff.¹² (*Id.* at 190-91,
21 193.) Plaintiff was not sure which officer entered first. (*Id.* at 196.) After that, Plaintiff kept his
22 eyes on the machine gun rather than the officers’ faces. (*Id.* at 196-97, 209.) The other officers
23 were “yanking [him] all over the place” and telling him to turn around. (*Id.* at 193, 210, 219-20.)
24 The officer with the machine gun was “hopping” or “running around.” (*Id.* at 210, 215, 226-27.)

26 ¹¹ Plaintiff was not able to refer to Defendants by their names because the officers
27 did not identify themselves to Plaintiff at any time. (Halbert Depo. at 205, 208.)

28 ¹² In his deposition, Plaintiff refers to Agent Graczyk as the officer with the machine
gun. (*See* Graczyk Depo. at 61-62, 95, 103-04.)

1 Plaintiff could not describe the location of each officer. (*Id.* at 218-19, 220, 221, 236.) He was
 2 told to put his hands up and did so. (*Id.* at 209, 219 224; *see also id.* at 190, 201.) Then he was
 3 told to put his arms behind his back, and someone “yanked” his right arm “real hard.” (*Id.* at
 4 220-22.) He was not sure who handled his arms first or who handled his right arm, because “[i]t
 5 was from behind.” (*Id.* at 210; *see also id.* at 221, 224.) Although Plaintiff testified that he did
 6 not think that the officer who first arrived at his door was “yanking” his right arm (*id.* at 222,
 7 225-26, 239), he was not sure of this because it happened behind him and he could not see (*id.* at
 8 239).

9 Based on the foregoing, the issue whether Det. Rodriguez handled Plaintiff’s right arm
 10 turns in large part on credibility. A jury could believe Det. Rodriguez’ testimony that he did not
 11 do it; on the other hand, the jury could just as reasonably believe Plaintiff that he could not say
 12 who handled his right arm, because the officers were behind him and because of the stress and
 13 confusion of the situation.

14 If Plaintiff’s testimony is believed, the fact that he did not see the officers handcuffing
 15 him behind his back and could not testify which officer did what is not fatal to his claim.
 16 “Whether or not the evidence in the record establishes liability on the part of the defendants
 17 depends on the resolution of disputed questions of fact and determinations of credibility, as well
 18 as on the drawing of inferences, all of which are manifestly in the province of a jury.” *Santos v.*
 19 *Gates*, 287 F.3d 846, 853 (9th Cir. 2002). A jury’s finding for the plaintiff in an excessive force
 20 case “may unquestionably rest on inferences drawn from circumstantial evidence.” *Id.*

21 For example, in *Rutherford v. City of Berkeley*, 780 F.2d 1444 (9th Cir. 1986),¹³ the
 22 plaintiff could not specifically state as to three officers whether they punched or kicked him, but
 23 he testified that “they were among the five or six officers who were surrounding him while he
 24 was beaten and that he saw each of their faces while he was being beaten. [The three officers]
 25 agreed that they were among the five or six officers who detained, arrested and handcuffed [the
 26 plaintiff], but denied punching or kicking [him].” *Id.* at 1448. The court held that “[f]rom this
 27

28 ¹³ Reversed on other grounds by *Graham v. Connor*, 490 U.S. 386 (1989); *see Santos*, 287 F.3d at 852 n.6.

1 evidence, a jury could reasonably infer that the named officers were participants in punching or
2 kicking [the plaintiff].” *Id.* Based on the record in this case, there is sufficient evidence that a
3 reasonable jury could infer that Det. Rodriguez participated in handling Plaintiff’s right arm.

4 Moreover, regardless of whose testimony is believed,

5 An officer’s liability under section 1983 is predicated on his ‘integral
6 participation’ in the alleged violation. Integral participation does not require that
each officer’s actions themselves rise to the level of a constitutional violation.

7 *Blankenhorn v. City of Orange*, 485 F.3d 463, 481 n.12 (9th Cir. 2009) (internal quotation marks
8 and citations omitted). Assistance in handcuffing which is instrumental in gaining control of a
9 suspect constitutes integral participation sufficient to impose liability for use of excessive force
10 in handcuffing. *Id.* It is undisputed that Det. Rodriguez not only assisted in handcuffing
11 Plaintiff but is the officer who handcuffed him. Accordingly, if the jury determines that
12 excessive force was used in handcuffing Plaintiff, Det. Rodriguez was an integral participant,
13 even if he did not handle Plaintiff’s right arm and his own conduct did not amount to excessive
14 force. *See id.*

15 Det. Rodriguez also argues that Plaintiff’s excessive force claim should fail because there
16 is no evidence that the handcuffing caused Plaintiff to suffer a torn rotator cuff as he claims.
17 This argument is based on the premise that in order to prevail on a claim for excessive force, a
18 plaintiff must have sustained a physical injury. Physical injury is not one of the elements of a
19 section 1983 claim. *See Dawson*, 435 F.3d at 1061; Ninth Cir. Model Jury Instr. 9.2 & 9.22.
20 For example, pointing a gun and causing the suspect to “fear for [his] life” or causing
21 unnecessary pain may be sufficient in some cases. *See Robinson*, 278 F.3d at 1013-14 (gun
22 pointing); *see also Meredith*, 342 F.3d at 1063 (unnecessary pain). The relevant inquiry is
23 whether the use of force was reasonable under the circumstances. *Robinson*, 278 F.3d at 1013-
24 14; Ninth Cir. Model Jury Instr. 9.22. Under this analysis, “the intrusion [on a person’s liberty]
25 may be substantial even though the injuries are minor.” *Santos*, 287 F.3d at 855.

26 Defendants’ reliance on *Arpin v. Santa Clara Valley Transportation Agency*, 261 F.3d
27 912 (9th Cir. 2001), is misplaced. Summary judgment for the defense was not based solely on
28 the plaintiff’s failure to support her claim of injury with medical records. *Id.* at 922. It was

1 based on her failure to support with “factual data” her conclusory statement that she did not
 2 resist arrest in face of the defendant’s detailed description of how she did. *Id.* at 921-22. *Arpin*
 3 does not stand for the proposition that in order to prevail on a claim of excessive force, a
 4 plaintiff must prove a physical injury with medical records.

5 Defendants also argue that the declaration of Plaintiff’s expert John Brault, MS is
 6 insufficient to show causation, *i.e.*, that the handcuffing caused a rotator cuff tear in Plaintiff’s
 7 right shoulder.¹⁴ As discussed above, a physical injury is not necessarily required to prevail on
 8 an excessive force claim. However, if Plaintiff intends to recover damages based on his
 9 shoulder injury, he must prove that it was caused by Defendants. Based on Plaintiff’s account of
 10 the handcuffing incident, Mr. Brault opined that the manner in which Plaintiff’s arms were
 11 handled is “highly consistent . . . with a rotator cuff tear.” (Decl. of John Brault, MS at 2.) In
 12 *Santos v. Gates*, expert testimony that “the injuries could . . . be consistent with [the plaintiff’s]
 13 having been violently shoved to the ground” was not found insufficient. 287 F.3d at 852. The
 14 court held that it could support a jury’s finding that the officers’ conduct caused the plaintiff’s
 15 injury. *Id.*

16 If the jury believes Det. Rodriguez that the handcuffing did not cause a rotator cuff tear as
 17 Plaintiff contends, the jury could still find that it was unduly painful. Plaintiff yelled in pain.
 18 (Halbert Ex. 24 (Resp. to Special Interrogs. at 8).) He felt pain in his shoulder and heard a crack
 19 or pop. (Halbert Depo. at 232.) The shoulder felt like “it popped out of the socket.” (*Id.*) Later,
 20 when he was brought into the kitchen, Plaintiff complained of the pain, and was re-cuffed in
 21 front. (*Id.* at 233-34, 250.) He could not move his right arm from back to front without
 22 assistance, and moving the arm forward was painful. (*Id.* at 233-34.) The shoulder “popped
 23 back in,” when the arm was moved forward. (*Id.*) If Plaintiff’s testimony is believed, the
 24 handcuffing was very painful. In some cases, this is sufficient to prevail on an excessive force
 25 claim. *See Meredith*, 342 F.3d at 1063.

26
 27 ¹⁴ Defendants’ objections to Mr. Brault’s declaration are overruled. Mr. Brault
 28 lectures at the Department of Biokinesiology and Physical Therapy at the University of Southern
 California. His lectures include anatomy and biomechanics of shoulder injuries. (Decl. of John
 Brault, MS at 1.)

1 Det. Rodriguez also argues that he should not be held liable because Plaintiff was not
2 injured from the handcuffs being applied too tightly. Although Plaintiff is not claiming any
3 physical injury to his wrists (Halbert Depo. at 656), he contends that the handcuffs were too tight
4 and caused him pain. When he was brought to the kitchen, he complained to Sgt. Davis, "These
5 handcuffs are killing me." (*Id.* at 250.) According to Plaintiff, he was handcuffed in the back
6 for 45 minutes to an hour before he was re-cuffed in the front. (*Id.* at 251.) Placing an occupant
7 into painfully tight handcuffs can constitute excessive force. *Meredith*, 342 F.3d at 1063. The
8 lack of a physical injury to Plaintiff's wrists is therefore not fatal to his excessive force claim.

9 In determining whether the force used in handcuffing Plaintiff was constitutionally
10 reasonable, the court considers the severity of the force applied and the need for the force.
11 *Tekle*, 511 F.3d at 844. In *Meredith v. Erath*, an occupant of searched premises, who was not a
12 target of the search, was thrown to the ground, her arms were twisted, and she was handcuffed
13 after repeatedly demanding to see the warrant. 342 F.3d at 1059, 1060. She claimed that the
14 force applied was excessive. *Id.* at 1059, 1060. The court found that, if the plaintiff's version of
15 the events was believed, a reasonable jury could find the use of force objectively unreasonable.
16 *Id.* at 1061. The plaintiff, although loudly asking to see the warrant and passively resisting, did
17 not pose a safety risk or attempt to flee, and the search was related to an income tax crime. *Id.*
18 The court held that the defendant was not entitled to qualified immunity on summary judgment.
19 *Id.* at 1061. The court also held that placing and keeping the plaintiff in handcuffs that were so
20 tight that they caused unnecessary pain for 30 minutes, as the plaintiff claimed, was objectively
21 unreasonable under the Fourth Amendment, and that the defendant was not entitled to qualified
22 immunity. *Id.* at 1063.

23 Plaintiff claims that the officers forcefully "yanked" his right arm down behind him and
24 then shoved his hands up, causing him to yell in pain and tearing a rotator cuff. The handcuffs
25 were then applied so tightly that they were "killing him." Plaintiff remained tightly handcuffed
26 behind his back for 45 minutes to one hour. In considering the need for the force, it is
27 undisputed that the officers were on the premises expecting to encounter two dangerous
28 individuals and did not know who Plaintiff was when they handcuffed him. Because of this, at

1 least two officers, Det. Rodriguez and Agent Graczyk, had their weapons drawn when they
2 encountered Plaintiff. Plaintiff was in a T-shirt and underwear (Graczyk Depo. at 70; Halbert
3 Depo. at 191; Davis Depo. at 31), and was therefore unlikely to be concealing a weapon.
4 Although Plaintiff demanded to see a warrant and did not consent to Defendants entering his
5 room, he did not resist them. He complied with the orders to raise his hands above his head, did
6 not advance toward Defendants, and did not attempt to flee.

7 If Plaintiff's version of the handcuffing is believed, a reasonable jury could find that in
8 these circumstances, it was objectively unreasonable for the officers to violently handle
9 Plaintiff's arms in handcuffing him and apply the handcuffs so tightly as to cause unnecessary
10 pain for 45 minutes to an hour. *See, e.g., Meredith*, 342 F.3d at 1059-63 *passim*. Plaintiff was
11 therefore successful in raising a genuine issue of fact regarding the reasonableness of the force
12 used when he was handcuffed.

13 Defendants contend that if Plaintiff can show that excessive force was used when he was
14 handcuffed, qualified immunity should apply to shield them from further litigation.

15 The qualified immunity analysis involves two separate steps. First, the court
16 determines whether the facts show the officer's conduct violated a constitutional
17 right. If the alleged conduct did not violate a constitutional right, then the
18 defendants are entitled to immunity and the claim must be dismissed. However, if
19 the alleged conduct did violate such a right, then the court must determine whether
the right was clearly established at the time of the alleged unlawful action. A right
is clearly established if a reasonable official would understand that what he is
doing violates that right. If the right is not clearly established, then the officer is
entitled to qualified immunity.

20 *Hopkins*, 573 F.3d at 762 (internal quotation marks and citations omitted). The order in which
21 these questions are addressed is left to the court's discretion. *Pearson*, 129 S. Ct. at 818.

22 As discussed above, if Plaintiff's version of events is believed, a reasonable jury could
23 find that excessive force was applied in violation of Plaintiff's Fourth Amendment rights. The
24 Fourth Amendment standard of excessive force generally, and as it applies to abusive or tight
25 handcuffing specifically, was clearly established as of August 19, 2005. *See Hansen*, 885 F.2d
26 at 643; *Meredith*, 342 F.3d at 1061-63. Furthermore, "[t]he proposition that police may not
27 inflict pain on non-suspects detained during a search, in the absence of any law enforcement
28 reason, should be so obvious to reasonable officers that qualified immunity cannot shield them.

1 A policeman ought to know that he is not constitutionally entitled to hurt people for no reason.”
2 *Tekle*, 511 F.3d at 860 (Kleinfeld, J., concurring), citing *Meredith*, 342 F.3d at 1061. Based on
3 the foregoing, Det. Rodriguez’ motion for summary adjudication of the excessive force claim
4 arising from the handcuffing incident is denied.

5 Plaintiff argues that the court should summarily adjudicate the claim in his favor. The
6 court disagrees. Defendants presented sufficient evidence to raise a genuine issue of fact
7 whether the force used was reasonable under the circumstances. According to Defendants’
8 evidence, the only officers in the basement area when Plaintiff was handcuffed were Det.
9 Rodriguez, Det. Knox and Agent Graczyk. (Rodriguez Decl. at 4.) Det. Rodriguez did not
10 forcefully bring Plaintiff’s arms down behind him and then up. (*Id.*) Det. Knox did not see any
11 physical violence being exercised on Plaintiff and did not observe Det. Rodriguez using any
12 force on Plaintiff other than “general handcuffing.” (Deposition of Darrin Knox (“Knox Depo.”)
13 at 97, 113.) Agent Graczyk did not touch Plaintiff at all. (Graczyk Depo. at 62, 93-94.)
14 Furthermore, when Defendants contacted Plaintiff, he was angry, verbally abusive, did not
15 comply with their commands and presented a threat to their safety. (Graczyk Depo. at 70, 71,
16 78-79, 83-84, 109; Deposition of Roger Rodriguez at 42-43, 48-49.) If Defendants’ evidence is
17 believed, a jury could conclude that the use of force was reasonable under the circumstances.
18 Accordingly, Plaintiff’s motion for summary adjudication of the excessive force claim arising
19 from the manner in which he was handcuffed is denied.

20 Based on the foregoing analysis, there is a genuine issue of fact regarding the severity and
21 the need for the force applied during the handcuffing incident. Because Plaintiff argues that all
22 Defendants should be held liable, the court examines the role of each Defendant in the
23 handcuffing incident.

24 Plaintiff claims that all Defendants were integral participants in the violation of his
25 constitutional rights. This assertion is based on an overly broad reading of *Boyd v. Benton*
26 *County*, 374 F.3d 773, 780 (9th Cir. 2004). (See Pl.’s Reply at 3-4.) In *Boyd*, the court found
27 there was a genuine issue of material fact whether excessive force was used upon entry into a
28 residence to conduct a search because the entry was accomplished by deploying a flash-bang

1 device. *Id.* at 779. The court also found that all officers who participated in the search were
 2 integral participants in the use of force not only because they stood armed behind the officer who
 3 deployed the device, but because they were briefed prior to the operation that the device would
 4 be used, did not object to it, and then participated in the search knowing that the device would be
 5 used. *Id.* at 780. Unlike in *Boyd*, in this case Plaintiff presents no evidence that there was a plan
 6 to use excessive force or that Defendants knew before the search that excessive force would be
 7 used. Plaintiff's blanket assertion that all Defendants were integral participants is rejected.

8 As discussed above, Det. Rodriguez' participation was integral to the handcuffing. It is
 9 undisputed that Agent Graczyk was present and provided security with his weapon during the
 10 handcuffing. Officers who provide armed backup during an operation where excessive force is
 11 used are considered integral participants. *Boyd*, 374 F.3d at 780. Therefore, Agent Graczyk was
 12 an integral participant.

13 With respect to Det. Samoa, Plaintiff testified that he was entering the room and helping
 14 as Plaintiff was being handcuffed. (Halbert Depo. at 227.)¹⁵ While he was being handcuffed,
 15 Plaintiff asked the officers if he could put his pants on. (*Id.* at 229.) His request was denied, and
 16 his hands were shoved upward behind him and he was pointed toward the door. (*Id.*) Plaintiff
 17 testified that his hands were shoved up by Det. Samoa. (*Id.*) Det. Samoa testified that he was
 18 not present when Plaintiff asked to put on his pants, that Plaintiff was handed over to him
 19 already in handcuffs and that he simply accompanied him upstairs. (Samoa Depo. at 55-56, 58,
 20 67-68, 70-71, 72.) Accordingly, whether Det. Samoa shoved Plaintiff's hands up behind him is
 21 a genuine issue of fact. If the jury finds that Plaintiff was handcuffed with excessive force, the
 22 jury also could reasonably conclude that Det. Samoa was involved in causing Plaintiff's injury
 23 or pain associated with the handcuffing, and that he therefore acted as an integral participant.

24 It is undisputed that Det. Spina and Sgt. Davis were not present during any stage of the
 25 handcuffing incident. Plaintiff presents no evidence to suggest that Det. Spina or Sgt. Davis
 26

27 ¹⁵ In his deposition, Plaintiff refers to Det. Samoa as the "big" or "large" officer.
 28 (See Halbert Depo. at 227, 229.) It is apparent that this is a reference to Det. Samoa, because he
 is the officers who escorted Plaintiff to the kitchen. (*Id.* at 229; Samoa Depo. at 59, 72.)

1 were consulted before Plaintiff was handcuffed or that they instructed Det. Rodriguez, Agent
2 Graczyk or Det. Samoa to handcuff him. Accordingly, they cannot be held liable under the
3 integral participant theory. *See Torres v. City of Los Angeles*, 548 F.3d 1197, 1206 (9th Cir.
4 2008).

5 Plaintiff also seeks a finding of liability against all Defendants based on their failure to
6 intercede. Law enforcement officers have a duty to intercede when their fellow officers violate
7 the constitutional rights of a suspect or other citizen. *Cunningham v. Gates*, 229 F.3d 1271,
8 1289 (9th Cir. 2000). However, “officers can be held liable . . . only if they had an opportunity
9 to intercede.” *Id.* Officers who were not present at the time of the incident do not have an
10 opportunity to intercede. *Id.* at 1290. Det. Spina and Sgt. Davis therefore cannot be held liable
11 under this theory. It is undisputed that Det. Rodriguez and Agent Graczyk were present during
12 the entire incident. They do not maintain that they did not see how Plaintiff’s arms were handled
13 or that they did not have a realistic opportunity to intercede. Accordingly, if the jury finds that
14 excessive force was used, they can be held liable on the alternative ground of failure to
15 intercede. Because Det. Samoa was present only toward the end of Plaintiff’s handcuffing, there
16 is a genuine issue of material fact whether he was present at the time when he could intercede.

17 Finally, Plaintiff argues that Sgt. Davis and Det. Spina should be held liable in their
18 respective supervisory capacities. It is undisputed that Sgt. Davis was in charge of the JUDGE
19 unit’s day to day operations, that Det. Spina was the case agent in charge of the search who
20 assigned duties to individual officers, and that both participated in the search itself.

21 Defendants acting in a supervisory role cannot be held liable based on vicarious liability
22 unless vicarious liability is imposed by state law. *Redman v. County of San Diego*, 942 F.2d
23 1435, 1446 (9th Cir. 1991). Plaintiff does not claim that state law provides for vicarious liability
24 in this case. In the absence of vicarious liability, a defendant in a supervisory capacity can be
25 held liable only for his personal role in the alleged constitutional deprivation. *Id.* A supervisory
26 defendant can be held liable based on any of the following theories: (1) directing subordinates in
27 the acts which deprived the plaintiff of constitutional rights; (2) setting in motion a series of acts
28 by subordinates that the supervisor knew or reasonably should have known would cause the

1 constitutional deprivation; or (3) failure to prevent subordinates from engaging in certain
2 conduct when the supervisor knows or reasonably should have known that subordinates were
3 engaging the conduct and that the conduct would deprive the plaintiff of constitutional rights.
4 Ninth Cir. Jury Instr. 9.3.

5 In support of their motion for summary judgment, Sgt. Davis and Det. Spina argue that
6 neither of them personally violated Plaintiff's constitutional rights, directed any officers to cause
7 a violation of Plaintiff's constitutional rights, and that, to the extent other Defendants violated
8 Plaintiff's rights, the conduct occurred in a matter of seconds and where Sgt. Davis and Det.
9 Spina were not present, which deprived them of any opportunity to intercede.

10 Plaintiff argues that Sgt. Davis and Det. Spina should be held liable because they made
11 the search possible. He does not present any evidence or argument that Sgt. Davis or Det. Spina
12 directed the use of excessive force. That they made the search possible is insufficient to justify
13 liability. *See Taylor v. List*, 880 F.2d 1040, 1045 (9th Cir. 1989). Plaintiff also presents no
14 evidence in support of any inference that they knew or should have known that the search would
15 result in the use of excessive force, or that they knew or should have known at the relevant time
16 that any officers were using excessive force. Plaintiff has therefore not met his burden as the
17 moving party with respect to an issue on which he will have the burden of proof at trial. *See*
18 *C.A.R. Transp. Brokerage Co.*, 213 F.3d at 480. Accordingly, to the extent the jury finds that
19 excessive force was applied in handcuffing Plaintiff, neither Sgt. Davis nor Det. Spina can be
20 held liable in their respective supervisory capacities.

21 As noted above, there is a genuine issue of fact regarding the severity and the need for the
22 force applied during the handcuffing incident. To the extent either Plaintiff or Det. Rodriguez
23 seek summary adjudication of the excessive force claim arising from the handcuffing incident,
24 their motions are **DENIED**. If the jury finds that excessive force was used, Det. Rodriguez and
25 Agent Graczyk were integral participants, or in the alternative, failed to intercede in the use of
26 excessive force. There is a genuine issue of fact whether Det. Samoa was an integral participant
27 or failed to intercede in the use of excessive force. There is no genuine issue of fact whether
28 Sgt. Davis or Det. Spina could be held liable for any use of excessive force in handcuffing

1 Plaintiff. To the extent their motion seeks summary adjudication of the excessive force claim
2 arising from the manner in which Plaintiff was handcuffed, their motion is **GRANTED**.

3 Third, Plaintiff moves for summary adjudication of the claim that Det. Samoa used
4 excessive force when he escorted Plaintiff, handcuffed behind his back, from the basement area
5 upstairs into the kitchen. Plaintiff claims that as they were walking up the steps, Det. Samoa
6 shoved him, causing his head to hit a cement overhang. (Halbert Depo. at 287-89.) Det. Samoa
7 points to evidence to the contrary. He testified that he did not touch Plaintiff and did not see him
8 hit his head. (Samoa Depo. at 58-59.) Based on the foregoing, there is a genuine issue of fact
9 whether Det. Samoa used any force against Plaintiff as he was escorting him upstairs. Plaintiff's
10 motion is therefore denied.

11 In his opposition to Plaintiff's motion, Det. Samoa argues that this claim should be
12 summarily adjudicated in his favor because Plaintiff presented no evidence of a head or neck
13 injury. This argument is rejected because Plaintiff is not required to prove a physical injury to
14 prevail on an excessive force claim. Plaintiff testified that he almost passed out from the pain
15 when his head hit the cement overhang. (Halbert Depo. at 288-89.) An excessive force claim
16 can be established solely based on unnecessary pain. *See, e.g., Meredith*, 342 F.3d at 1063. The
17 relevant inquiry is whether the use of force was reasonable under the circumstances. *Robinson*,
18 278 F.3d at 1013-14; Ninth Cir. Model Jury Instr. 9.22.

19 Based on Plaintiff's version of the incident on the stairs, a reasonable jury could conclude
20 that the force used was excessive. It is undisputed that Plaintiff was already handcuffed behind
21 his back when he was being escorted. According to Plaintiff, Det. Samoa shoved him into the
22 cement overhang without any provocation, thus rendering any use of force unnecessary. Under
23 these circumstances, qualified immunity does not apply to shield Defendants from litigation.
24 Det. Samoa's request in his reply brief for summary adjudication of this claim in his favor is
25 therefore denied.

26 Because there is a genuine issue of fact regarding the use of force when Plaintiff was
27 escorted upstairs, and because Plaintiff claims that all Defendants should be held liable, it is
28 necessary to examine each Defendant's involvement in the incident. Plaintiff does not identify

1 any Defendant who acted as an integral participant or failed to intercede during the incident on
2 the stairs. As to Sgt. Davis and Det. Spina, Plaintiff's supervisory liability arguments fail for the
3 reasons discussed with respect to the handcuffing incident. Therefore, to the extent the jury may
4 find that excessive force was used, the only Defendant who is subject to liability for this claim is
5 Det. Samoa.

6 To the extent Plaintiff's motion is based on the excessive force claim arising from the
7 incident on the stairs, the motion is **DENIED**. Det. Samoa's request to summarily adjudicate
8 this claim in his favor is **DENIED**. There is no genuine issue of fact whether Sgt. Davis, Det.
9 Spina, Det. Rodriguez or Agent Graczyk could be held liable for any use of excessive force in
10 the incident on the stairs. Accordingly, to the extent their motions seek summary adjudication of
11 this issue, their motions are **GRANTED**.

12 Fourth, Plaintiff moves for summary adjudication of the claim that his constitutional
13 rights were violated because he was handcuffed for the entire duration of his detention. Sgt.
14 Davis, Det. Spina and Det. Samoa move for summary adjudication of the same claim in their
15 favor.

16 While the police may constitutionally detain a building's occupants for the duration of the
17 search, "they do not have unfettered authority to detain [them] in any way they see fit."
18 *Dawson*, 435 F.3d at 1066. "Because handcuffing substantially aggravates the intrusiveness of a
19 detention, it follows that circumstances which would justify a detention will not necessarily
20 justify a detention by handcuffing." *Meredith*, 342 F.3d at 1063. Detaining a person in
21 handcuffs during the search is permissible, "but only when justified by the totality of the
22 circumstances." *Tekle*, 511 F.3d at 849; *see also Muehler*, 544 U.S. at 100 ("the duration of
23 detention can, of course, affect the balance of interests under *Graham*").

24 In *Muehler*, the police were conducting a search for weapons at a known gang member's
25 place of residence. *See* 544 U.S. at 100. The officers detained in handcuffs a petite woman,
26 who was not suspected of any wrongdoing, for two to three hours together with three other
27 occupants of the premises. *Id.* at 100; *see also id.* at 96, 102-03, 105. The court found that the
28 detention in handcuffs for the duration of the search was constitutionally reasonable. *Id.* at 100.

1 The decision was based on the law enforcement justifications established in *Summers*, 452 U.S.
2 at 702-03, *i.e.*, preventing flight if incriminating evidence is found, minimizing the risk of harm
3 to the officers and occupants, and facilitating the orderly completion of the search. *Muehler*, 544
4 U.S. at 98, 99. In addition, the court noted that the search was considered inherently dangerous,
5 that “the need to detain multiple occupants made the use of handcuffs all the more reasonable,”
6 and that only one or two officers were available to guard the four detainees. *Id.* at 100.

7 As in *Muehler*, the present case presents an inherently dangerous search. As in *Muehler*,
8 Plaintiff was detained in handcuffs for the duration of the search even after Defendants
9 established that he had no criminal background and he was not suspected of any wrongdoing.
10 (Davis Depo. at 44-45, 55, 88-89.) It is undisputed that three occupants were detained and that
11 all three were large men. (*See* Spina Depo. at 92 (Messrs. Carpenter and Carter were “both
12 pretty good-sized individuals” and “muscular”); Knox Depo. at 42 (Plaintiff was close to the size
13 of Det. Knox, who was 5'10" and weighed 185 lbs., only Plaintiff was heavier).) Two of the
14 detained men were known to be dangerous criminals. All three detainees were guarded by Sgt.
15 Davis. Det. Spina, Det. Samoa and Agent Graczyk were also present, but none of them was
16 available for the entire time. (*See* Halbert Depo. at 208, 247, 250, 255, 256, 526.)¹⁶ When
17 Plaintiff complained that the handcuffs were painful, he was re-cuffed in front. (*Id.* at 250.)
18 Plaintiff does not maintain that the handcuffs caused him any pain after he was re-cuffed.

19 Based on the foregoing, all the considerations which were found relevant in *Muehler* also
20 weigh in favor of finding the handcuffing reasonable in this case. Accordingly, Plaintiff’s
21 Fourth Amendment rights were not violated when he was handcuffed for the entire duration of
22 the search.

23 In the alternative, assuming that Plaintiff’s version of the detention in handcuffs were to
24 suffice for a Fourth Amendment violation, Defendants are entitled to qualified immunity. As of
25 the time of the search, *Muehler* was the most recent Supreme Court decision addressing the issue
26 of detaining occupants in handcuffs. Based on the facts and holding of *Muehler*, it would be

27
28 ¹⁶ In his deposition testimony, Plaintiff referred to Det. Spina as the officer with a camera. (*See* Halbert Depo. at 256; *cf.* Spina Depo. at 114, 127.)

1 reasonable for an officer to believe that detention in handcuffs was constitutionally permissible
2 in this case. *See Saucier*, 533 U.S. at 205.

3 Accordingly, to the extent Plaintiff's motion is based on the unreasonableness of his
4 detention because he was handcuffed for the duration of the search, the motion is **DENIED**. To
5 the extent Defendants' motions seek summary adjudication of this issue, they are **GRANTED**.

6 Last, Plaintiff seeks summary adjudication of the claim that Defendants conspired to
7 violate his constitutional rights. To prevail on the conspiracy claim, Plaintiff must show "an
8 agreement or meeting of the minds to violate constitutional rights." *United Steel Workers of Am.*
9 *v. Phelps Dodge Corp.*, 865 F.2d 1539, 1540-41 (9th Cir. 1989) (internal quotation marks and
10 citations omitted). Plaintiff presents no evidence to suggest that Defendants agreed to violate his
11 constitutional rights. Accordingly, he has not met his burden as the moving party with respect to
12 an issue on which he will have the burden of proof at trial. *See C.A.R. Transp. Brokerage Co.*,
13 213 F.3d at 480. To the extent Plaintiff's motion is based on the claim that Defendants
14 conspired to violate his constitutional rights, it is **DENIED**. This claim is summarily
15 adjudicated for Defendants.

16 Based on the foregoing, it is hereby **ORDERED** as follows:

17 1. Det. Rodriguez' motion for summary judgment is **DENIED**. The request in his reply
18 for summary adjudication of issues is **GRANTED IN PART AND DENIED IN PART**.


19 2. Det. Samoa's motion for summary adjudication of issues is **GRANTED**. The request
20 in his reply for summary judgment is **DENIED**.

21 3. Sgt. Davis and Det. Spina's motion for summary judgment is **GRANTED**.

22 4. Plaintiff's motion for summary judgment or in the alternative partial summary
23 judgment is **DENIED**.

24 **IT IS SO ORDERED.**

25 DATED: March 30, 2010

26 
27 M. James Lorenz
28 United States District Court Judge

28 COPY TO:

1 HON. WILLIAM V. GALLO
2 UNITED STATES MAGISTRATE JUDGE
3 ALL PARTIES/COUNSEL
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